

Annex 1 - Position Report Attributes

This document is an annex to the document "MiFID II/MiFIR Reporting Description" of EEX AG and provides an overview of the ITS 4 attributes and of XML elements based on the Extended FCA Schema of 2017 and the FCA Schema of 2021.

- **Extended FCA Schema (2017)** refers to the extended schema as provided by the Futures Industry Association (FIA) in 2017 with additional but optional attributes that were added where required by trading venues or firms for internal processes.
- **FCA Schema (2021)** refers to the standard FCA schema of 2021 with the additional field
- **EEX Schema Path** refers to the position XML structure used by EEX AG.
- **ITS 4 Field** shows the field name as specified in the ITS 4 document.
- **Format & Valid Values** provide the field format, pattern restrictions and lists of valid values where
- **Draft Report Value** shows exemplary values with regard to the draft report generated by EEX AG.
- **Draft** indicates if the tag is used in the Draft report, if applicable.
- **Validation** indicates if the tag is automatically validated after the upload of the Participant Report to the SFTP server. Most of the attributes are only checked in terms of format specifications. A functional
- **Mandatory** indicates if the tag is a mandatory field for reporting. Tags can be mandatory ("Y"), not mandatory ("N") or conditionally mandatory ("C"). For example providing the ultimate parent id is mandatory, but which format is a choice, therefore the different variants of providing the ultimate parent id

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Extended FCA Schema (2017)	FCA Schema (2021)	EEA Schema Path	ITS 4 Field	Format & Valid Values	Draft Report Value	Draft	Validation	Mandatory
Version	n/a	/Header/VersionInfo/Version	n/a	Text (25 char)	2.1.0	Y	N	Y
Notes	n/a	/Header/VersionInfo/Notes	n/a	Text (50 char)	Draft version Commodity Derivative Position Report	Y	N	Y
Environment	n/a	/Header/Environment	n/a	'PRO' 'SIM'	'PRO'	Y	Y	Y
SubmissionID	n/a	/Header/SubmissionID	n/a	Text (50 char)	n/a	N	N	N
SubmitterID	n/a	/Header/SubmitterID	n/a	Text (35 char)	529900J0GLSFDWNFC20	Y	N	Y
ReceiverID	n/a	/Header/ReceiverID	n/a	Text (35 char)	NCADE	Y	N	N
UltimateReceivingNCA	n/a	/Header/UltimateReceivingNCA	n/a	Text (35 char)	NCADE	Y	Y	Y
n/a	n/a	/CPR/NEWT/	Report status	'NEWT' 'CANC' 'AMND'	'NEWT'	Y	Y	Y
ReportRefNo	ReportRefNo	/ReportRefNo	Report reference number	Text (52 char)	e.g. E01XEER0000PM2024010200000000003SYN	Y	N	Y
RptDt	RptDt	/CPRBody/RptDt	Date and time of report submission	YYYY-MM-DDTHH:MM:SS.mmmZ	e.g. 2024-01-03T01:54:57.207Z	Y	N	Y
BusDt	BusDt	/CPRBody/BusDt	Date of the trading day of the reported position	ISO 8601: YYYY-MM-DD	e.g. 2024-01-02	Y	Y	Y
RptEnt	RptEnt	/CPRBody/RptEnt/LEI	Reporting entity ID	Text; pattern restriction	529900J0GLSFDWNFC20	Y	Y	Y
RptEnt	RptEnt	/CPRBody/RptEnt/NationalID/Othr/Id	Reporting entity ID	Text (35 char); pattern restriction	n/a	N	N	N
RptEnt	RptEnt	/CPRBody/RptEnt/NationalID/Othr/SchmeNm/Cd	Reporting entity ID	'NIDN' 'CCPT'	n/a	N	Y	N
RptEnt	RptEnt	/CPRBody/RptEnt/NationalID/Othr/SchmeNm/Prtr	Reporting entity ID	'CONCAT'	n/a	N	Y	N
RptEntMemberID	n/a	/CPRBody/RptEntMemberID	n/a	Text (35 char)	e.g. ABCEX	Y	N	N
PstnAcct	n/a	/CPRBody/PstnAcct	n/a	Text (200 char)	e.g. P1	Y	N	N
PstnHldr	PstnHldr	/CPRBody/PstnHldr/LEI	Position holder ID	Text; pattern restriction	e.g. 529900U86L9E0D4K2054	Y	Y	C
PstnHldr	PstnHldr	/CPRBody/PstnHldr/NationalID/ Othr/Id	Position holder ID	Text (35 char); pattern restriction	e.g. GB19750731JOHN#SMITH	N	N	C
PstnHldr	PstnHldr	/CPRBody/PstnHldr/NationalID/ Othr/SchmeNm/Cd	Position holder ID	'NIDN' 'CCPT'	e.g. NIDN	N	Y	C
PstnHldr	PstnHldr	/CPRBody/PstnHldr/NationalID/ Othr/SchmeNm/Prtr	Position holder ID	'CONCAT'	'CONCAT'	N	Y	C
PstnHldrCategory	n/a	/CPRBody/PstnHldrCategory	n/a	'Investment Firm or Credit Institution' 'Investment Fund' 'Other Financial Institution' 'Commercial Undertaking' 'Operators with compliance obligations under Directive 2003/87/EC'	e.g. Commercial Undertaking	Y	Y	Y
PstnHldrCntctEmI	PstnHldrCntctEmI	CPRBody/PstnHldrCntctEmI	Email address of position holder	Text (3-256 char); pattern restriction	e.g. name@domain.com	Y	Y	Y
ParentPstnHldrCntctEmI	ParentPstnHldrCntctEmI	/CPRBody/ParentPstnHldrCntctEmI	Email address of ultimate parent entity	Text (3-256 char); pattern restriction	e.g. name@domain.com	Y	Y	Y
PstnHldrIsIdpdtdInd	PstnHldrIsIdpdtdInd	/CPRBody/PstnHldrIsIdpdtdInd	Parent of collective investment scheme status	'TRUE' 'FALSE'	e.g. 'TRUE'	Y	Y	Y
PrntEnt	PrntEnt	/CPRBody/PrntEnt/LEI	Ultimate parent entity ID	Text; pattern restriction	e.g. 529900U86L9E0D4K2054	Y	Y	C
PrntEnt	PrntEnt	/CPRBody/PrntEnt/NationalID/ Othr/Id	Ultimate parent entity ID	Text (35 char); pattern restriction	e.g. GB19750731JOHN#SMITH	Y	N	C
PrntEnt	PrntEnt	/CPRBody/PrntEnt/NationalID/ Othr/SchmeNm/Cd	Ultimate parent entity ID	'NIDN' 'CCPT'	e.g. 'NIDN'	Y	Y	C
PrntEnt	PrntEnt	/CPRBody/PrntEnt/NationalID/ Othr/SchmeNm/Prtr	Ultimate parent entity ID	'CONCAT'	'CONCAT'	Y	Y	C
ISIN	ISIN	/CPRBody/ISIN	Identification code of contract traded on trading venues	Text; pattern restriction	e.g. DE000C3TR3V6	Y	Y	Y
VenProdCde	VenProdCde	/CPRBody/VenProdCde	Venue product code	Text (12 char)	e.g. DEBM	Y	Y	Y
TrdngVenID	TrdngVenID	/CPRBody/TrdngVenID	Trading venue identifier	Text; pattern restriction	e.g. XEER	Y	Y	Y
PstnTyp	PstnTyp	/CPRBody/PstnTyp	Position type	'OPTN' 'FUTR' 'EMIS' 'SPRV' 'OTHR'	e.g. 'FUTR'	Y	Y	Y
PstnMtrty	PstnMtrty	/CPRBody/PstnMtrty	Position maturity	'SPOT' 'OTHR' 'SPHT'	e.g. 'OTHR'	Y	Y	Y
PstnQty	PstnQty	/CPRBody/PstnQty	Position quantity	Numeric (15-2Real)	e.g. 2184	Y	Y	Y
PstnQtyUoM	PstnQtyUoM	/CPRBody/PstnQtyUoM	Notation of the position quantity	'LOTS' 'UNIT'	e.g. 'OTHER'	Y	Y	Y
PstnQtyUoMDesc	PstnQtyUoMDesc	/CPRBody/PstnQtyUoMDesc	Notation of the position quantity	Text (25 char)	e.g. MWh	Y	N	C
DeltaPstnQty	DeltaPstnQty	/CPRBody/DeltaPstnQty	Delta equivalent position quantity	Numeric (15-2Real)	e.g. -4.8	Y	Y	C

Extended FCA Schema (2017)	FCA Schema (2021)	EEX Schema Path	ITS 4 Field	Format & Valid Values	Draft Report Value	Draft	Validation	Mandatory
RiskRdcInd	RiskRdcInd	/CPRBody/RiskRdcInd	Indicator of whether the position is risk reducing in relation to commercial activity	'TRUE' 'FALSE'	e.g. 'TRUE'	Y	Y	Y
IsNonInvestmentFirm	n/a	/CPRBody/ClientData/IsNonInvestFirm	n/a	'TRUE' 'FALSE'	n/a	N	Y	N
IsHedgingExempt	n/a	CPRBody/ClientData/IsHedgingExempt	n/a	'TRUE' 'FALSE'	n/a	N	Y	N
n/a	ObligationToProvideLiquidity	CPRBody/ObligationToProvideLiquidity	n/a	'TRUE' 'FALSE'	e.g. 'FALSE'	Y	Y	Y