

Annex 2 - Transaction Report Attributes

This document is an annex to the document "MiFID II/MiFIR Reporting Description" of EEX AG and gives an overview of the data fields according to RTS 22.

- **RTS 22 ID** refers to the RTS 22 Field ID.
- **RTS 22 Reference** holds the name of the field as defined in RTS 22.
- **Schema Tag** is the tag name in the XML report.
- **Schema Structure** refers to the transaction XML structural section of which this field belongs to.
- **Format & Valid Values** provides the field format, pattern restrictions and lists of valid values
- **Draft Report Value** shows exemplary values with regard to the draft report generated by EEX AG.
- **Draft** indicates if the tag is used in the Draft report, if applicable.
- **Validation** indicates if the tag is automatically validated after the upload of the Participant Report to the SFTP server. Most of the attributes are only checked in terms of format specifications. A
- **Mandatory** indicates if the tag is a mandatory field for reporting. Tags can be mandatory ("Y"), not mandatory ("N") or conditionally mandatory ("C"). For example providing the buyer ID is mandatory, but which format is a choice therefore the different variants of providing the buyer ID are all

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RTS 22 ID	RTS 22 Reference	Schema Tag	Schema Structure	Format & Valid Values	Draft Report Value	Draft	Validation	Mandatory
1	Report Status	actionType	processingDetails	'N' (NEW) 'C' (CANCEL)	'N'	Y	Y	Y
2	Transaction Reference Number	trnRefNumber	transactionDetails	String 52 characters	e.g. E01XEER0000EM000000000000086232480000123897	Y	Y	Y
3	Trading venue transaction Identification code	tradingVenueTrnIdCode	transactionDetails	String 52 characters	e.g. 1000000000000021050560150964029334267934800000000789	Y	Y	Y
4	Executing Entity	executingEntityId	counterpartyDetails	LEI of executing firm	e.g. 529900U86L9E0D4K2054	Y	Y	Y
5	Investment Firm (Y/N)	mifidInvestmentFirm	counterpartyDetails	'Y' (Yes) 'N' (No)	e.g. 'N'	Y	Y	Y
6	Submitting Entity	sender	fileInformation	valid LEI (String with 20 characters)	529900J0JGLSFDWNFC20	Y	Y	Y
7	Buyer	LEI	buyerId	valid LEI (String with 20 characters)	e.g. 529900U86L9E0D4K2054	Y	Y	C
7	Buyer	MIC	buyerId	MIC code	n/a	N	N	C
7	Buyer	NATIONAL_ID_CCPT	buyerId	String 35 characters according to applicable standard	e.g. FR123456789	Y	Y	C
7	Buyer	NATIONAL_ID_NIDN	buyerId	String 35 characters according to applicable standard	e.g. DKFX43FV580	Y	Y	C
7	Buyer	NATIONAL_ID_CONCAT	buyerId	Valid CONCAT Id according to applicable standard	e.g. GB19750731JOHN#SMITH	Y	Y	C
7	Buyer	NATIONAL_ID_DERCON	buyerId	String 2 characters	n/a	N	N	C
7	Buyer	INTC	buyerId	INTC	n/a	N	N	C
7	Buyer	INTERNAL_PARTY_ID	buyerId	String 35 characters	n/a	N	N	C
8	Country Code of branch Buyer	buyerBranchCountry	mifirBuyerDetails	Two Letter ISO Country Code	e.g. 'AT'	Y	Y	C
9	Buyer first name	buyerFirstname	mifirBuyerDetails	String up to 140 characters	e.g. "John"	Y	N	C
10	Buyer surname	buyerSurname	mifirBuyerDetails	String up to 140 characters	e.g. "Smith"	Y	N	C
11	Buyer - birthdate	buyerBirthdate	mifirBuyerDetails	yyyy-mm-dd	e.g. "1975-07-31"	Y	N	C
12	Buyer Decision Maker	LEI	buyerDecisionMakerId	valid LEI (String with 20 characters)	n/a	N	N	N
12	Buyer Decision Maker	NATIONAL_ID_CCPT	buyerDecisionMakerId	String 35 characters according to applicable standard	n/a	N	N	N
12	Buyer Decision Maker	NATIONAL_ID_NIDN	buyerDecisionMakerId	String 35 characters according to applicable standard	n/a	N	N	N
12	Buyer Decision Maker	NATIONAL_ID_CONCAT	buyerDecisionMakerId	Valid CONCAT Id according to applicable standard	n/a	N	N	N
12	Buyer Decision Maker	NATIONAL_ID_DERCON	buyerDecisionMakerId	String 2 characters	n/a	N	N	N
12	Buyer Decision Maker	INTERNAL_PARTY_ID	buyerDecisionMakerId	String 35 characters	n/a	N	N	N
13	Buy Decision Maker First Name	buyerDecisionFirstname	mifirBuyerDecisionMakerDetails	String up to 140 characters	n/a	N	N	N
14	Buy Decision Maker surname	buyerDecisionSurname	mifirBuyerDecisionMakerDetails	String up to 140 characters	n/a	N	N	N

RTS 22 ID	RTS 22 Reference	Schema Tag	Schema Structure	Format & Valid Values	Draft Report Value	Draft	Validation	Mandatory
15	Buy Decision Maker birthdate	buyerDecisionBirthdate	mifirBuyerDecisionMakerDetails	yyyy-mm-dd	n/a	N	N	N
16	Seller	LEI	sellerId	valid LEI (String with 20 characters)	e.g. 529900M6JY6PUZ9NTA71	Y	Y	C
16	Seller	MIC	sellerId	MIC code	n/a	N	N	C
16	Seller	NATIONAL_ID_CCPT	sellerId	String 35 characters according to applicable standard	e.g. FR123456789	Y	Y	C
16	Seller	NATIONAL_ID_NIDN	sellerId	String 35 characters according to applicable standard	e.g. DKFX43FV580	Y	Y	C
16	Seller	NATIONAL_ID_CONCAT	sellerId	Valid CONCAT Id according to applicable standard	e.g. GB19750731JOHN#SMITH	Y	Y	C
16	Seller	NATIONAL_ID_DERCON	sellerId	String 2 characters	n/a	N	N	C
16	Seller	INTC	sellerId	INTC	n/a	N	N	C
16	Seller	INTERNAL_PARTY_ID	sellerId	String 35 characters	n/a	N	N	C
17	Country Code of branch Seller	sellerBranchCountry	mifirSellerDetails	Two Letter ISO Country Code	e.g. 'AT'	Y	Y	C
18	Seller first name	sellerFirstname	mifirSellerDetails	String up to 140 characters	e.g. "John"	Y	N	C
19	Seller surname	sellerSurname	mifirSellerDetails	String up to 140 characters	e.g. "Smith"	Y	N	C
20	Seller - birthdate	sellerBirthdate	mifirSellerDetails	yyyy-mm-dd	e.g. "1975-07-31"	Y	N	C
21	Seller Decision Maker	LEI	sellerDecisionMakerId	valid LEI (String with 20 characters)	n/a	N	Y	N
21	Seller Decision Maker	NATIONAL_ID_CCPT	sellerDecisionMakerId	String 35 characters according to applicable standard	n/a	N	N	N
21	Seller Decision Maker	NATIONAL_ID_NIDN	sellerDecisionMakerId	String 35 characters according to applicable standard	n/a	N	N	N
21	Seller Decision Maker	NATIONAL_ID_CONCAT	sellerDecisionMakerId	Valid CONCAT Id according to applicable standard	n/a	N	N	N
21	Seller Decision Maker	NATIONAL_ID_DERCON	sellerDecisionMakerId	String 2 characters	n/a	N	N	N
21	Seller Decision Maker	INTERNAL_PARTY_ID	sellerDecisionMakerId	String 35 characters	n/a	N	N	N
22	Sell Decision Maker First Name	sellerDecisionFirstname	mifirSellerDecisionMakerDetails	String up to 140 characters	n/a	N	N	N
23	Sell Decision Maker surname	sellerDecisionSurname	mifirSellerDecisionMakerDetails	String up to 140 characters	n/a	N	N	N
24	Sell Decision Maker birthdate	sellerDecisionBirthdate	mifirSellerDecisionMakerDetails	yyyy-mm-dd	n/a	N	N	N
25	Transmission Indicator	transmissionId	transmission	'Y' 'N'	'N'	Y	Y	Y
26	Transmitting firm buyer	transmittingEntityBuyerId	transmission	valid LEI	n/a	N	Y	N
27	Transmitting firm seller	transmittingEntitySellerId	transmission	valid LEI	n/a	N	Y	N
28	Trading Date	tradeTime	transactionDetails	HH:MM:SS.mmmmmZ	13:32:04.484000Z	Y	Y	Y
29	Trading Capacity	mifirTradingCapacity	transactionDetails	'DEAL' 'MATCH' 'AOTC'	e.g. 'AOTC'	Y	Y	Y
30	Quantity	UNIT	quantity	Number	e.g. 1	Y	Y	Y
33	Price	MONETARY	price	Number	e.g. 32.65	Y	Y	Y

RTS 22 ID	RTS 22 Reference	Schema Tag	Schema Structure	Format & Valid Values	Draft Report Value	Draft	Validation	Mandatory
34	Currency	priceCurrency	transactionDetails	Three Letter Currency Code	e.g. EUR	Y	Y	Y
36	Venue	venue	transactionDetails	MIC	e.g. XPSF	Y	N	Y
37	Country of the branch membership	branchMembershipCountry	counterpartyDetails	Two Letter ISO Country Code	e.g. DE	Y	Y	Y
40	Complex Trade ID	complexTradeComponentId	transactionDetails	String 35 characters	e.g. 000XEER000T2024010200000005623450	Y	Y	Y
41	Instrument ISIN	ISIN	instrumentId	ISIN (12 characters)	e.g. DE000C2720C0	Y	Y	Y
42	Instrument full Name	instrumentName	instrumentDetails	String 350 characters	e.g. G3BY01202500000000	Y	Y	Y
57	Investment Decision within firm	NATIONAL_ID_CCPT	investmentDecisionId	String 35 characters according to applicable standard	e.g. FR123456789	Y	Y	C
57	Investment Decision within firm	NATIONAL_ID_NIDN	investmentDecisionId	String 35 characters according to applicable standard	e.g. DKFX43FV580	Y	Y	C
57	Investment Decision within firm	NATIONAL_ID_CONCAT	investmentDecisionId	Valid CONCAT ID according to applicable standard	e.g. GB19750731JOHN#SMITH	Y	Y	C
57	Investment Decision within firm	ALGO	investmentDecisionId	String 50 characters	e.g. 22	Y	Y	C
57	Investment Decision within firm	INTERNAL_PARTY_ID	investmentDecisionId	String 35 characters	n/a	N	N	C
58	Country of branch investment decision	investmentDecisionBranchCountry	otherDetails	Two Letter ISO Country Code	e.g. ES	Y	Y	C
59	Execution within firm	NATIONAL_ID_CCPT	executionId	String 35 characters according to applicable standard	e.g. FR123456789	Y	Y	C
59	Execution within firm	NATIONAL_ID_NIDN	executionId	String 35 characters according to applicable standard	e.g. DKFX43FV580	Y	Y	C
59	Execution within firm	NATIONAL_ID_CONCAT	executionId	Valid CONCAT ID according to applicable standard	e.g. GB19750731JOHN#SMITH	Y	Y	C
59	Execution within firm	ALGO	executionId	String 50 characters	Code of Algorithm on order (in case it has been an ALGO)	Y	Y	C
59	Execution within firm	CLIENT	executionId	(no content expected)	n/a	N	Y	C
59	Execution within firm	INTERNAL_PARTY_ID	executionId	String 35 characters	n/a	N	N	C
60	Country of branch execution	supervisingBranchCountry	otherDetails	Two Letter ISO Country Code	e.g. PL	Y	Y	C
61	Waiver Ind	waiverIndicator	otherDetails	'RFPT' 'NLIQ' 'OILQ' 'PRIC' 'SIZE' 'JL OD'	n/a	N	Y	N
64	Commodity derivative ind (risk reducing)	commodityDerivativeIndicator	otherDetails	'Y' 'N' <empty>	e.g. 'Y'	Y	Y	Y
65	Securities financing	sftrIndicator	otherDetails	'Y' 'N'	'N'	Y	Y	Y
n/a	n/a	timestamp	fileInformation	YYYY-MM-DDTHH:MM:SSZ	e.g. 2024-01-03T07:31:33Z	Y	Y	Y
n/a	n/a	environment	fileInformation	'PRO' 'SIM'	'PRO'	Y	Y	Y
n/a	n/a	version	fileInformation	Number with the maximum format of ###.##.	1.0	Y	Y	Y
n/a	n/a	tradeDate	processingDetails	YYYY-MM-DD	e.g. 2024-01-02	Y	Y	Y
n/a	n/a	customerTransactionId	processingDetails	String 52 characters	e.g. E01XEER0000EM00000000000086232480000123897	Y	Y	Y