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# Further information on fees charged for the Common Auction Platform

EEX AG  
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## 1. Fees per lot won in the auctions

For all auctions of the common auction platform (hereafter referred to as “CAP3”) appointed pursuant to Article 26(1) of the Commission Regulation (EU) No 1031/2010 (Auctioning Regulation), the fees per lot won by the successful bidders (exchange fee as well as clearing and settlement fee) must be set in line with the provisions of the underlying service contract between EEX, the European Commission and EU Member States (hereafter referred to as “Service Contract”).

Accordingly, the fees per lot may not be higher than (1) the “fee threshold” determined in accordance with Article I.4.1.4. (b) of the Service Contract and (2) the benchmark fee referred to in Article 51(1) of the Auctioning Regulation as further specified in Article I.4.1.5. of the Service Contract:

- (1) The fee threshold is defined as the ratio of the price for the respective period (costs for the operation of the service) and the number of allowances to be auctioned as published in the CAP3 auction calendar.
- (2) The benchmark fee is defined as the comparable standard fee on the secondary market (fee on the spot market of EEX and ECC). The operator of the auction platform may increase the fees paid by the successful bidders pursuant to Article 52(1) of the Auctioning Regulation to a maximum of 120 % of the comparable standard fees paid by the successful buyers of allowances on the secondary market during the years when the auction volumes are reduced by more than 200 million allowances pursuant to Decision (EU) 2015/1814.

	Exchange fee	Clearing and settlement fee	Total
<b>Contractual fee threshold for the period from 1 Sep 2021 until 31 Dec 2022 (€/500 allowances)</b>			2.23 € <sup>1</sup>
<b>Applicable benchmark fee (€/500 allowances)</b>			Sum of exchange fee (cf. <a href="#">EEX price-list</a> section “1.4 Environmental Products Emission Rights on the Spot Market”) and clearing and settlement fee (cf. <a href="#">ECC price-list</a> , section “4.3. Emissions”)
<b>Fee per lot won for the period 1 Sep 2021 until 31 Dec 2022 (€/500 allowances)</b>	1.86 € <sup>2</sup>	0.37 € <sup>3</sup>	2.23 € <sup>1</sup>

<sup>1</sup> This corresponds to 4.46 €/1,000 allowances.

<sup>2</sup> This corresponds to 3.72 €/1,000 allowances.

<sup>3</sup> This corresponds to 0.74 €/1,000 allowances.

Based on the methodology outlined above, the fees per lot applicable to CAP3 auctions shall be established and published together with each CAP3 auction calendar or, where applicable, its revision. Changes of the fee threshold and/or the benchmark fee may result in the change of the fee per lot won.

## 2. Fees for accessing the auctions (auction only membership)

Fees for accessing the auction comprises of one-off fees and periodic fees in accordance with Article I.4.1.1. of the Service Contract. The most cost efficient way for accessing the auction is the Auction Only membership for which membership and technical access is free of charge. In case market participants are participating via different memberships, the technical access is charged separately.

Type of fee	Reference in the EEX price-list
<b>Exchange trader mandatory training(one-off fee)</b>	
<b>Total one-off fee for auction-only access</b> (Spot market emission certificates training & exam)	0 €
<b>Applicable benchmark fee</b> (Spot market emission certificates training & exam)	Section „4.6. Trainings and Events”
<b>Annual fee (periodic fee, p.a.)</b>	
<b>Total periodic fee for auction-only access</b> (excluding fees for accessing the auctioning electronic interface)	0 €
<b>Applicable benchmark fee</b> (“Emerging and Environmental Markets” membership, excluding fees for accessing the auctioning electronic interface)	Section „4.1. Annual Fees“
<b>Technical access (periodic fee, p.a.)</b>	
<b>Total periodic fee for accessing the auctioning electronic interface</b>	0 €
<b>Applicable benchmark fees</b> (EEX TT Screen, Internet connection)	Section „4.4. Fees for Frontends and Connection“