

part of eex group



Order technical access for ECC Clearing Members

07.05.2020

Leipzig

Ref. T02

Release 003

1. Preliminary Note

This form serves System Clearing Members of European Commodity Clearing AG (hereinafter referred to as "ECC AG") to request access to the systems of European Energy Exchange (hereinafter referred to as "EEX") for the purpose of managing the trading possibilities of the Exchange Participants for whose transactions they provide clearing and settlement including themselves and to request the termination of such access. The procedure for granting such access consists of the following steps:

Please return this form completed and duly signed as **scan** to MemberReadiness@ecc.de.

Member Readiness European Commodity Clearing AG Augustusplatz 9 04109 Leipzig Deutschland Phone: +49 341 2156-261 E-mail: MemberReadiness@ecc.de
--

2. General data of the applicant

Already Exchange Participant at EEX

Name of the Company according to Company Register	Member ID <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
---	---

Currently without any contractual relationship at EEX

Name of the Company	
Name of the Company according to Company Register	Member ID at ECC AG <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Business Address of the Applicant	
Country	Address
Post Code	City
Name of technical contact	
Email address of technical contact	Phone of the technical contact

3. Request

We hereby request to be granted access to the following system(s) of EEX for the purpose of managing the trading possibilities of Exchange Participants for whose transactions we provide clearing and settlement (including ourselves, if applicable):

- Trading system T7
 - via T7 Clearer GUI via already existing technical connection
 - via T7 Clearer GUI via Internet
 - as soon as possible
 - on:

- EEX Japan Power Portal (technical connection via Internet)
 - as soon as possible
 - on:

We hereby request the termination of access to the following system(s) of EEX:

- Trading system T7
 - as soon as possible
 - on:

- EEX Japan Power Portal
 - as soon as possible
 - on:

With regard to the CM-Client-Limit, we hereby request the following EEX Exchange Participants to be

- set up
- cancelled

in the EEX Japan Power Portal:

- as soon as possible
- on:

EEX exchange participants (please request here as well your setup for trading on own behalf)			
EEX Member ID	Company Name	Type of request	Account of the EEX exchange participant (e.g. P1, A1, ...)*
<input type="text"/>		<input type="checkbox"/> Setup <input type="checkbox"/> Cancellation	
<input type="text"/>		<input type="checkbox"/> Setup <input type="checkbox"/> Cancellation	

EEX Member ID	Company Name	Type of request	Account of the EEX exchange participant (e.g. P1, A1, ...)*
<input type="text"/>		<input type="checkbox"/> Setup <input type="checkbox"/> Cancellation	
<input type="text"/>		<input type="checkbox"/> Setup <input type="checkbox"/> Cancellation	
<input type="text"/>		<input type="checkbox"/> Setup <input type="checkbox"/> Cancellation	

*All confirmed trades will be booked into the P1 account of the EEX exchange participant by default, unless something else is specified. The applicant confirms that the account setup has been confirmed to him by the EEX exchange participant previously.

5. The legal basis

The access to the systems of EEX granted to System Clearing Members of ECC AG for the purpose of managing the trading possibilities of the Exchange Participants for whose transactions they provide clearing and settlement in accordance with the Clearing Conditions of ECC AG is based on:

1. The Exchange Act of the Federal Republic of Germany.
2. The rules and regulations of EEX in its respective valid form, in particular:
 - Exchange Rules,
 - Trading Conditions,
 - Code of Conduct,
 - EEX Trade Registration Rules,
 - EEX-Implementation Regulations.

The above mentioned legal basis is supplemented by the relationship between the applicant and the European Energy Exchange AG (the company operating EEX; hereinafter referred to as "EEX AG"), ECC AG, as well as the legal relationship between the applicant and the Exchange Participants concerned. These legal relationships are based in particular on:

- Contracts for technical access, incl. the General Terms and Conditions to the Agreement on the technical connection and the utilization of the EDP System of the European Energy Exchange (EEX),
- ECC Clearing Conditions,
- NCM CM Clearing Agreement and
- List of Services and Prices of EEX AG and Price List of ECC AG.

All current documentation can be downloaded from the websites www.eex.com and www.ecc.de.

6. Login details

In case the requested access is granted, please provide the following recipients with the required log-in details to access the respective system of EEX on our behalf:

Recipients						
	First Name	Name	Company	Phone	Email	System
<input type="checkbox"/> Ms. <input type="checkbox"/> Mr.						<input type="checkbox"/> T7 <input type="checkbox"/> EEX Japan Power Portal
<input type="checkbox"/> Ms. <input type="checkbox"/> Mr.						<input type="checkbox"/> T7 <input type="checkbox"/> EEX Japan Power Portal
<input type="checkbox"/> Ms. <input type="checkbox"/> Mr.						<input type="checkbox"/> T7 <input type="checkbox"/> EEX Japan Power Portal
<input type="checkbox"/> Ms. <input type="checkbox"/> Mr.						<input type="checkbox"/> T7 <input type="checkbox"/> EEX Japan Power Portal
<input type="checkbox"/> Ms. <input type="checkbox"/> Mr.						<input type="checkbox"/> T7 <input type="checkbox"/> EEX Japan Power Portal

For future setups or deletions please use form E03 "Application for Setup/Deletion of EEX User IDs"
<http://www.eex.com/en/access/admission-neu/admission-forms>

7. Assurances / Statements

1. We assure that we are familiar with and will abide by the rules and regulations and the contractual arrangements as mentioned in section 4 above. Especially we agree with the validity of the Lists of Services and Prices of EEX AG and the Price List of ECC AG.
2. We assure that we will make use of the granted access(es) only for the purpose of managing the trading possibilities of the Exchange Participants for whose transactions we provide clearing and settlement (including ourselves or other clients of ours, if applicable).
3. In case we appoint third parties to assist us in adjusting our settings within the EEX Japan Power Portal, this occurs in our own responsibility and EEX and EEX AG do not assume any obligations or liability in respect thereof.
4. In case we are limiting the trading possibilities of the Exchange Participants for whose transactions we provide clearing and settlement (including ourselves or other clients of ours, if applicable) by means of several systems of EEX, we will ensure that the limits set in the respective EEX systems do not conflict with each other.
5. We assure to promptly inform EEX and EEX AG about changes to the information, confirmations and declarations, which have been given in context with this application, and to provide evidence about such changed information, confirmations and declarations.

8. Exchange of Information with authorities

We authorise the Management Board of the European Energy Exchange ("EEX") and the operating company of the Exchange ("EEX AG") that information and data entered into EEX Systems and all data resulting or derived from such entries in EEX Systems may be transmitted by EEX and/or EEX AG to national and foreign public authorities if demanded by them from EEX or EEX AG and if the requesting authority is subject to a statutory confidentiality obligation according to the laws applicable to them.

9. Signature(s)

The undersigned assures that he has fully informed the contact persons named in this form about the DATA PROTECTION DECLARATION which can be found at <https://www.eex.com/>.

Please send this form as **scan** to MemberReadiness@ecc.de.

Place and Date	Legally binding signature(s) of applicant
----------------	---