

part of eex group



Order Routing System, Direct Electronic Access and Other Electronic Access

14.10.2021
Leipzig

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Ref. 015C

Please send the scanned version to
MemberReadiness@ecc.de

Order Routing System, Direct Electronic Access and Other Electronic Access

1. General data of the applicant

Member ("Applicant"):

European Commodity Clearing AG

Member Readiness

Phone: +49 341 24680-261

Email: MemberReadiness@ecc.de

For Gas related requests:

Phone: +33 173 03 7671 / 7688

Email: Membership@powernext.com

Company name

Name of technical contact

Street or P.O.B.

Postal code, City, Country

Telephone

Email-Address

Member ID (if known)

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2. Application

Sponsored Access	
Setup	Technical Access
<p>Herewith we apply for the authorisation to connect a Direct Electronic Access (DEA) in the form of Sponsored Access to the EEX trading system pursuant to Sec. 2.6 of the EEX Technical Implementation Regulations.</p> <p>Herewith we apply for the authorisation to connect an additional User-ID for an already approved Sponsored Access.</p>	<p>Trayport® Joule® or EEX Joule Direct Screen (owned by DEA client)</p> <p>TT Screen (owned by DEA client)</p> <p>EUREX T7 GUI (owned by DEA client)</p>

3. Notification

Order Routing System (ORS)	
Setup	Technical Access
<p>Herewith we notify EEX of the connection of an Order Routing System (ORS) to the EEX trading system(s) pursuant to Sec. 2.4 of the EEX Technical Implementation Regulations.</p> <p>Herewith we notify EEX of the connection of additional User-IDs for an ORS already notified to EEX as specified below.</p>	<p>Trayport® Joule® or EEX Joule Direct Screen</p> <p>TT Screen</p> <p>EUREX T7 GUI</p>

Direct Market Access (DMA)	
Setup	Technical Access
<p>Herewith we notify EEX of the connection of a Direct Electronic Access (DEA) in form of Direct Market Access (DMA) to the EEX trading system pursuant to Sec. 2.5 of the EEX Technical Implementation Regulations.</p> <p>Herewith we request additional User IDs for an already notified DMA as specified below.</p>	<p>Trayport® Joule® or EEX Joule Direct Screen</p> <p>TT Screen</p> <p>EUREX T7 GUI</p>

Other Electronic Access (OEA)	
Setup	Technical Access
<p>Herewith we notify EEX of the connection of an Other Electronic Access (OEA) pursuant to Sec. 2.7 of the EEX Technical Implementation Regulations.</p> <p>Herewith we request additional User-IDs for an already notified OEA as specified below.</p>	<p>Trayport® Joule® or EEX Joule Direct Screen</p> <p>TT Screen</p> <p>EUREX T7 GUI</p>

4. Responsibility

The responsibility in the sense of the EEX Technical Implementation Regulation hold(s) the following admitted exchange trader(s) (for ORS) or person(s) (for Sponsored Access, DMA or OEA):

	User 1	User 2	User 3
Name of responsible trader / person			
Email of responsible trader / person			
Phone of responsible trader / person			
Number of ORS User IDs			
Number of Sponsored Access User IDs			
Number of DMA User IDs			
Number of OEA User IDs			
Product setup	All available products Defined in Appendix	All available products Defined in Appendix	All available products Defined in Appendix

Please use an additional sheet, if more than three Users are required.

Any changes of the responsible trader(s) and person(s) have to be reported to EEX immediately.

5. Declaration of consent for publication on the EEX website

Yes, we agree to be listed on EEX's website as an exchange participant that offers market access to EEX for non- members. Please use the following URL as link to our website:

No, we do not agree to be listed on EEX's website as an exchange participant that offers market access for non- members.

We already declared our consent or refusal regarding a publication on the EEX website.

6. Declarations

We guarantee that at all times we will comply with the conditions, requirements and instructions as set out in section 2.4. of the EEX Technical Implementation Regulation (for ORS), in section 2.7 of the EEX Technical Implementation Regulation (for OEA) or as stated below (for DEA):

- a) We have ensured contractually that only persons that are personally reliable and that have the required professional qualifications enter, change or delete orders in the T7 trading system.
- b) We submit all our potential DEA clients to a due diligence examination in order to ensure that these comply with the requirements of the Delegated Regulation (EU) 2017/589 and the rules and regulations of EEX.
- c) We have ensured contractually that our DEA Customers fulfill the pre- and post-trade obligations according to sec. 19 paragraph 2 of Regulation (EU) 2017/589. We monitor our DEA Clients' compliance with these requirements continuously.
- d) All orders and trades which are entered into the trading system or concluded in it by a person using a DEA we have granted will be labeled as such.
- e) The EEX Technical Implementation Regulation will be complied with at all times with regard to the technical access.
- f) We have ensured contractually that if our DEA clients connect a system for algorithmic trading to the trading systems of EEX, they will comply with all requirements regarding such systems and we will notify the connection of such system by our DEA Clients to EEX using the T11 form.
- g) We will forward the User IDs provided to us by EEX to our DEA Clients and have ensured contractually that they will exclusively use these User IDs when trading at EEX.
- h) Whenever we permit any of our DEA clients to grant DEA for third parties, we will ensure that our DEA clients have put in place for such third parties due diligence procedures which are, at least, equivalent to the procedures outlined in letter c).
- i) Additionally, in case of Sponsored Access:
 - i. The DEA clients are subject to pre- and post-trading inspections by us;
 - ii. Only we are entitled to specify or amend the parameters of the inspections of DEA clients described in (i).
 - iii. The parameters for risk controls and trade limits established at EEX are observed.

Please consider encrypting the information you provide. We offer you the use of our secure platform of document exchange. If you would like to arrange an individual setup for secure transmission please contact us.

The undersigned assures that he has fully informed the contact persons named in connection with the contract, the exchange membership relationship or other legal relationships about the transfer of their personal data and that he has brought to their attention the PRIVACY NOTICE which can be found on our company websites.

Place	Date	Signature(s) of the company
		<p style="text-align: center;">Name of Signatory/-ies:</p>

Appendix

Product Setup for: User 1 User 2 User 3
Remarks:

Derivatives market:

EEX Power Futures / Options in EUR
 Power Futures in GBP
 Power Futures in JPY
 Futures / Options on Emission Allowances
 Agricultural Products
 Biomass Futures
 Freight
 Iron Ore

Natural Gas Futures and Options

THE Futures
 THE EGSI Futures
 NBP Futures
 PEG Futures
 PVB Futures
 TTF Futures
 TTF EGSI Futures
 ZEE Futures
 ZTP Futures
 PSV Futures
 ETF Futures
 CEGH Futures
 CEGH EGSI Futures
 CZ VTP Futures
 TTF Options¹
 LNG Futures²

¹ TTF Options are available by default on STP for all trader setup for TTF regulated market.

² LNG Futures are available by default on STP for all trader setup on regulated market.

Spot market:

EUA Spot Secondary Market

Natural Gas Spot Contracts

THE Spot Contracts

THE-H Spot Contracts

THE-L Spot Contracts

NBP Spot Contracts

PEG Spot Contracts

LPEG/CPEG Spot Contracts

PVB Spot Contracts

TTF Spot Contracts

ZEE Spot Contracts

ZTP Spot Contracts

ZTPL Spot Contracts

ETF Spot Contracts

CEGH Spot Contracts

CZ VTP Spot Contracts