

Annex 2 - Transaction Report Attributes

This document is an annex to the document "MiFID II/MiFIR Reporting Description" of EEX AG and gives an overview of the data fields according to RTS 22.

- **RTS 22 ID** refers to the RTS 22 Field ID.
- **RTS 22 Reference** holds the name of the field as defined in RTS 22.
- **Schema Tag** is the tag name in the XML report.
- **Schema Structure** refers to the transaction XML structural section of which this field belongs to.
- **Format & Valid Values** provides the field format, pattern restrictions and lists of valid values
- **Draft Report Value** shows exemplary values with regard to the draft report generated by EEX AG.
- **Draft** indicates if the tag is used in the Draft report, if applicable.
- **Validation** indicates if the tag is validated after the upload of the Participant Report to the SFTP.
- **Mandatory** indicates if the tag is a mandatory field for reporting. Tags can be mandatory ("Y"), not mandatory ("N") or conditionally mandatory ("C"). For example providing the buyer ID is mandatory, but which format is a choice therefore the different variants of providing the buyer ID are all conditionally mandatory, meaning one of the tags needs to be provided.

Version 0001A, 08.04.2024

RTS 22 ID	RTS 22 Reference	Schema Tag	Schema Structure	Format & Valid Values	Draft Report Value	Draft	Validation	Mandatory
1	Report Status	actionType	processingDetails	'N' (NEW) 'C' (CANCEL)	'N'	Y	Y	Y
2	Transaction Reference Number	trnRefNumber	transactionDetails	String 52 characters	e.g. E01XEER0000EM00000000000086232480000123897	Y	Y	Y
3	Trading venue transaction Identification code	tradingVenueTrnIdCode	transactionDetails	String 52 characters	e.g. 1000000000000021050560150964029334267934800000000789	Y	Y	Y
4	Executing Entity	executingEntityId	counterpartyDetails	LEI of executing firm	e.g. 529900U86L9E0D4K2054	Y	Y	Y
5	Investment Firm (Y/N)	mifidInvestmentFirm	counterpartyDetails	'Y' (Yes) 'N' (No)	e.g. 'N'	Y	Y	Y
6	Submitting Entity	sender	fileInformation	valid LEI (String with 20 characters)	529900J0JGLSFDWNFC20	Y	Y	Y
7	Buyer	LEI	buyerId	valid LEI (String with 20 characters)	e.g. 529900U86L9E0D4K2054	Y	Y	C
7	Buyer	MIC	buyerId	MIC code	n/a	N	Y	C
7	Buyer	NATIONAL_ID_CCPT	buyerId	String 35 characters according to applicable standard	e.g. FR123456789	Y	Y	C
7	Buyer	NATIONAL_ID_NIDN	buyerId	String 35 characters according to applicable standard	e.g. DKFX43FV580	Y	Y	C
7	Buyer	NATIONAL_ID_CONCAT	buyerId	Valid CONCAT Id according to applicable standard	e.g. GB19750731JOHN#SMITH	Y	Y	C
7	Buyer	NATIONAL_ID_DERCON	buyerId	String 2 characters	n/a	N	Y	C
7	Buyer	INTC	buyerId	INTC	n/a	N	Y	C
7	Buyer	INTERNAL_PARTY_ID	buyerId	String 35 characters	n/a	N	Y	C
8	Country Code of branch Buyer	buyerBranchCountry	mifirBuyerDetails	Two Letter ISO Country Code	e.g. 'AT'	Y	Y	C
9	Buyer first name	buyerFirstname	mifirBuyerDetails	String up to 140 characters	e.g. "John"	N	Y	C
10	Buyer surname	buyerSurname	mifirBuyerDetails	String up to 140 characters	e.g. "Smith"	N	Y	C
11	Buyer - birthdate	buyerBirthdate	mifirBuyerDetails	yyyy-mm-dd	e.g. "1975-07-31"	N	Y	C
12	Buyer Decision Maker	LEI	buyerDecisionMakerId	valid LEI (String with 20 characters)	n/a	N	N	N
12	Buyer Decision Maker	NATIONAL_ID_CCPT	buyerDecisionMakerId	String 35 characters according to applicable standard	n/a	N	N	N
12	Buyer Decision Maker	NATIONAL_ID_NIDN	buyerDecisionMakerId	String 35 characters according to applicable standard	n/a	N	N	N
12	Buyer Decision Maker	NATIONAL_ID_CONCAT	buyerDecisionMakerId	Valid CONCAT Id according to applicable standard	n/a	N	N	N
12	Buyer Decision Maker	NATIONAL_ID_DERCON	buyerDecisionMakerId	String 2 characters	n/a	N	N	N
12	Buyer Decision Maker	INTERNAL_PARTY_ID	buyerDecisionMakerId	String 35 characters	n/a	N	N	N
13	Buy Decision Maker First Name	buyerDecisionFirstname	mifirBuyerDecisionMakerDetails	String up to 140 characters	n/a	N	N	N
14	Buy Decision Maker surname	buyerDecisionSurname	mifirBuyerDecisionMakerDetails	String up to 140 characters	n/a	N	N	N

RTS 22 ID	RTS 22 Reference	Schema Tag	Schema Structure	Format & Valid Values	Draft Report Value	Draft	Validation	Mandatory
15	Buy Decision Maker birthdate	buyerDecisionBirthdate	mifirBuyerDecisionMakerDetails	yyyy-mm-dd	n/a	N	N	N
16	Seller	LEI	sellerId	valid LEI (String with 20 characters)	e.g. 529900M6JY6PUZ9NTA71	Y	Y	C
16	Seller	MIC	sellerId	MIC code	n/a	N	Y	C
16	Seller	NATIONAL_ID_CCPT	sellerId	String 35 characters according to applicable standard	e.g. FR123456789	Y	Y	C
16	Seller	NATIONAL_ID_NIDN	sellerId	String 35 characters according to applicable standard	e.g. DKFX43FV580	Y	Y	C
16	Seller	NATIONAL_ID_CONCAT	sellerId	Valid CONCAT Id according to applicable standard	e.g. GB19750731JOHN#SMITH	Y	Y	C
16	Seller	NATIONAL_ID_DERCON	sellerId	String 2 characters	n/a	N	Y	C
16	Seller	INTC	sellerId	INTC	n/a	N	Y	C
16	Seller	INTERNAL_PARTY_ID	sellerId	String 35 characters	n/a	N	Y	C
17	Country Code of branch Seller	sellerBranchCountry	mifirSellerDetails	Two Letter ISO Country Code	e.g. 'AT'	Y	Y	C
18	Seller first name	sellerFirstname	mifirSellerDetails	String up to 140 characters	e.g. "John"	N	Y	C
19	Seller surname	sellerSurname	mifirSellerDetails	String up to 140 characters	e.g. "Smith"	N	Y	C
20	Seller - birthdate	sellerBirthdate	mifirSellerDetails	yyyy-mm-dd	e.g. "1975-07-31"	N	Y	C
21	Seller Decision Maker	LEI	sellerDecisionMakerId	valid LEI (String with 20 characters)	n/a	N	Y	N
21	Seller Decision Maker	NATIONAL_ID_CCPT	sellerDecisionMakerId	String 35 characters according to applicable standard	n/a	N	N	N
21	Seller Decision Maker	NATIONAL_ID_NIDN	sellerDecisionMakerId	String 35 characters according to applicable standard	n/a	N	N	N
21	Seller Decision Maker	NATIONAL_ID_CONCAT	sellerDecisionMakerId	Valid CONCAT Id according to applicable standard	n/a	N	N	N
21	Seller Decision Maker	NATIONAL_ID_DERCON	sellerDecisionMakerId	String 2 characters	n/a	N	N	N
21	Seller Decision Maker	INTERNAL_PARTY_ID	sellerDecisionMakerId	String 35 characters	n/a	N	N	N
22	Sell Decision Maker First Name	sellerDecisionFirstname	mifirSellerDecisionMakerDetails	String up to 140 characters	n/a	N	N	N
23	Sell Decision Maker surname	sellerDecisionSurname	mifirSellerDecisionMakerDetails	String up to 140 characters	n/a	N	N	N
24	Sell Decision Maker birthdate	sellerDecisionBirthdate	mifirSellerDecisionMakerDetails	yyyy-mm-dd	n/a	N	N	N
25	Transmission Indicator	transmissionId	transmission	'Y' 'N'	'N'	Y	Y	Y
26	Transmitting firm buyer	transmittingEntityBuyerId	transmission	valid LEI	n/a	N	Y	N
27	Transmitting firm seller	transmittingEntitySellerId	transmission	valid LEI	n/a	N	Y	N
28	Trading Date	tradeTime	transactionDetails	HH:MM:SS.mmmmmZ	13:32:04.484000Z	Y	Y	Y
29	Trading Capacity	mifirTradingCapacity	transactionDetails	'DEAL' 'MTCH' 'AOTC'	e.g. 'AOTC'	Y	Y	Y
30	Quantity	UNIT	quantity	Number	e.g. 1	Y	Y	Y
33	Price	MONETARY	price	Number	e.g. 32.65	Y	Y	Y

RTS 22 ID	RTS 22 Reference	Schema Tag	Schema Structure	Format & Valid Values	Draft Report Value	Draft	Validation	Mandatory
34	Currency	priceCurrency	transactionDetails	Three Letter Currency Code	e.g. EUR	Y	Y	Y
36	Venue	venue	transactionDetails	MIC	e.g. XPSF	Y	Y	Y
37	Country of the branch membership	branchMembershipCountry	counterpartyDetails	Two Letter ISO Country Code	e.g. DE	Y	Y	Y
40	Complex Trade ID	complexTradeComponentId	transactionDetails	String 35 characters	e.g. 000XEER000T2024010200000005623450	Y	Y	Y
41	Instrument ISIN	ISIN	instrumentId	ISIN (12 characters)	e.g. DE000C2720C0	Y	Y	Y
42	Instrument full Name	instrumentName	instrumentDetails	String 350 characters	e.g. G3BY01202500000000	Y	Y	Y
57	Investment Decision within firm	NATIONAL_ID_CCPT	investmentDecisionId	String 35 characters according to applicable standard	e.g. FR123456789	Y	Y	C
57	Investment Decision within firm	NATIONAL_ID_NIDN	investmentDecisionId	String 35 characters according to applicable standard	e.g. DKFX43FV580	Y	Y	C
57	Investment Decision within firm	NATIONAL_ID_CONCAT	investmentDecisionId	Valid CONCAT Id according to applicable standard	e.g. GB19750731JOHN#SMITH	Y	Y	C
57	Investment Decision within firm	ALGO	investmentDecisionId	String 50 characters	e.g. 22	Y	Y	C
57	Investment Decision within firm	INTERNAL_PARTY_ID	investmentDecisionId	String 35 characters	n/a	N	Y	C
58	Country of branch investment decision	investmentDecisionBranchCountry	otherDetails	Two Letter ISO Country Code	e.g. ES	Y	Y	C
59	Execution within firm	NATIONAL_ID_CCPT	executionId	String 35 characters according to applicable standard	e.g. FR123456789	Y	Y	C
59	Execution within firm	NATIONAL_ID_NIDN	executionId	String 35 characters according to applicable standard	e.g. DKFX43FV580	Y	Y	C
59	Execution within firm	NATIONAL_ID_CONCAT	executionId	Valid CONCAT Id according to applicable standard	e.g. GB19750731JOHN#SMITH	Y	Y	C
59	Execution within firm	ALGO	executionId	String 50 characters	Code of Algorithm on order (in case it has been an ALGO)	Y	Y	C
59	Execution within firm	CLIENT	executionId	(no content expected)	n/a	N	Y	C
59	Execution within firm	INTERNAL_PARTY_ID	executionId	String 35 characters	n/a	N	Y	C
60	Country of branch execution	supervisingBranchCountry	otherDetails	Two Letter ISO Country Code	e.g. PL	Y	Y	C
61	Waiver Ind	waiverIndicator	otherDetails	'RFPT' 'NLIQ' 'OILQ' 'PRIC' 'SIZE' 'ILOD'	n/a	N	Y	N
64	Commodity derivative ind (risk reducing)	commodityDerivativeIndicator	otherDetails	'Y' 'N'	e.g. 'Y'	Y	Y	Y
65	Securities financing	sftrIndicator	otherDetails	'Y' 'N'	'N'	Y	Y	Y
n/a	n/a	timestamp	fileInformation	YYYY-MM-DDTHH:MM:SSZ	e.g. 2024-01-03T07:31:33Z	Y	Y	Y
n/a	n/a	environment	fileInformation	'PRO' 'SIM'	PRO	Y	Y	Y
n/a	n/a	version	fileInformation	Number with the maximum format of ###.##.	1.0	Y	Y	Y
n/a	n/a	tradeDate	processingDetails	YYYY-MM-DD	e.g. 2024-01-02	Y	Y	Y

RTS 22 ID	RTS 22 Reference	Schema Tag	Schema Structure	Format & Valid Values	Draft Report Value	Draft	Validation	Mandatory
n/a	n/a	customerTransactionId	processingDetails	String 52 characters	e.g. E01XEER0000EM00000000000086232480000123897	Y	Y	Y