

part of eex group



# Application for Setup/Deletion of Exchange Traders and Trader Assistants

27.01.2022  
Leipzig

Doc. A03\_E  
Ref. 014C

**- only required as scan -**

# 1. Application

Setup		Function
Setup	as soon as possible	Exchange Trader
	on:	Exchange Trader (Trade Registration Only)
Deletion	as soon as possible	Shift Trader <sup>1</sup> (Gas Spot only)
	on:	Trader Assistant (max. 6 month) as:
		Exchange Trader Assistant
		Shift Trader Assistant <sup>1</sup> (Gas Spot only)
Remarks:		

Please see EEX Rules and Regulations for descriptions of user types and EEX price list for emerging costs.

The exchange participant hereby acknowledges that in the case of the application of a trader assistant, the above individual will be promoted to full trader status upon the successful completion of the relevant EEX / EUREX exam.

# 2. General data of the applicant

Company submitting the application			
Name of the Company			Member ID (if known)
			<input type="checkbox"/> E <input type="checkbox"/> X
Personal information			
First Name		Last Name	
Ms. Mr.	Date of Birth	IM / Eikon <sup>TM</sup> ID (optional)	
National ID (mandatory) <sup>2</sup>			
E-Mail (mandatory)		Phone (mandatory)	

# 3. Responsible trader (in case of setup of trader assistant)

Information regarding responsible trader	
Name of the responsible trader	User ID of the responsible trader
Signature of the responsible trader	

<sup>1</sup> Shift traders are users who are active exclusively as part of a team in the shift system set up specifically for 24/7 trading on the EEX gas spot market. All shift traders of a trading participant must never be logged in or be active in the trading system at the same time.

<sup>2</sup> Further details can be found at <http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32017R0590&qid=1496311513555&from=DE>, article 6, page 7 and annex II, page 29. The National ID is not mandatory for Gas Spot only Traders. Please note that since 01.01.2021 the United Kingdom is deemed to be in the category "All other countries".

## 4. Selection of the markets and technical access

MARKET	TECHNICAL ACCESS
Derivatives market	Trayport® Joule® or EEX Joule Direct Screen (GV Portal, Exchange Trading only)
	EEX TT Screen (▶ Do you have already an own TT environment? Yes /No )
	EUREX T7 GUI
	STP (Trade Registration only)
Spot market	Trayport® Joule® or EEX Joule Direct Screen (GV Portal, <b>Gas Spot products only tradeable with this technical access</b> )
	EEX TT Screen (▶ Do you have already an own TT environment? Yes /No )
	EUREX T7 GUI
	STP (Trade Registration only)
	M7 Auction System (only for EUA Spot Primary Auctions)

After formal admission the initial product assignments may be amended by the following registered individual(s):

- Authorised signatory/-ies
- Central Coordinator
- Executive Directors of the Management Board
- Security Administrator T7 Admin GUI

Please contact your responsible EEX Key Account Manager in our Sales Department or send your request alternatively via e-mail to [membership@eex.com](mailto:membership@eex.com). For Gas Spot products please contact solely [membership@eex.com](mailto:membership@eex.com).

## 5. Signature(s) for the admission

Please send this form as **scan**, the declaration of personal reliability (E04) as **original** and a copy of the ID-Card of the exchange trader or trader assistant to the above mentioned address.

For deletions an email to [membership@eex.com](mailto:membership@eex.com) is sufficient. Next to executive directors of the management board and authorised signatory/-ies, a request for deletion may also be issued by the central coordinator.

We authorise the Management Board of the European Energy Exchange ("EEX") and the operating company of the Exchange ("EEX AG") that information and data entered into EEX Systems and all data resulting or derived from such entries in EEX Systems may be transmitted by EEX and/or EEX AG to national and foreign public authorities if demanded by them from EEX or EEX AG and if the requesting authority is subject to a statutory confidentiality obligation according to the laws applicable to them.

The undersigned assures that he has fully informed the contact persons named in connection with the contract, the exchange membership relationship or other legal relationships about the transfer of their personal data and that he has brought to their attention the PRIVACY NOTICE which can be found on our company websites.

Place	Date	Legally binding signature(s) of exchange participant <sup>3</sup>
		<i>Name of Signatory/-ies:</i>

<sup>3</sup> These are the nominated authorised signatories, not typically the trader or trader assistant being set up.