

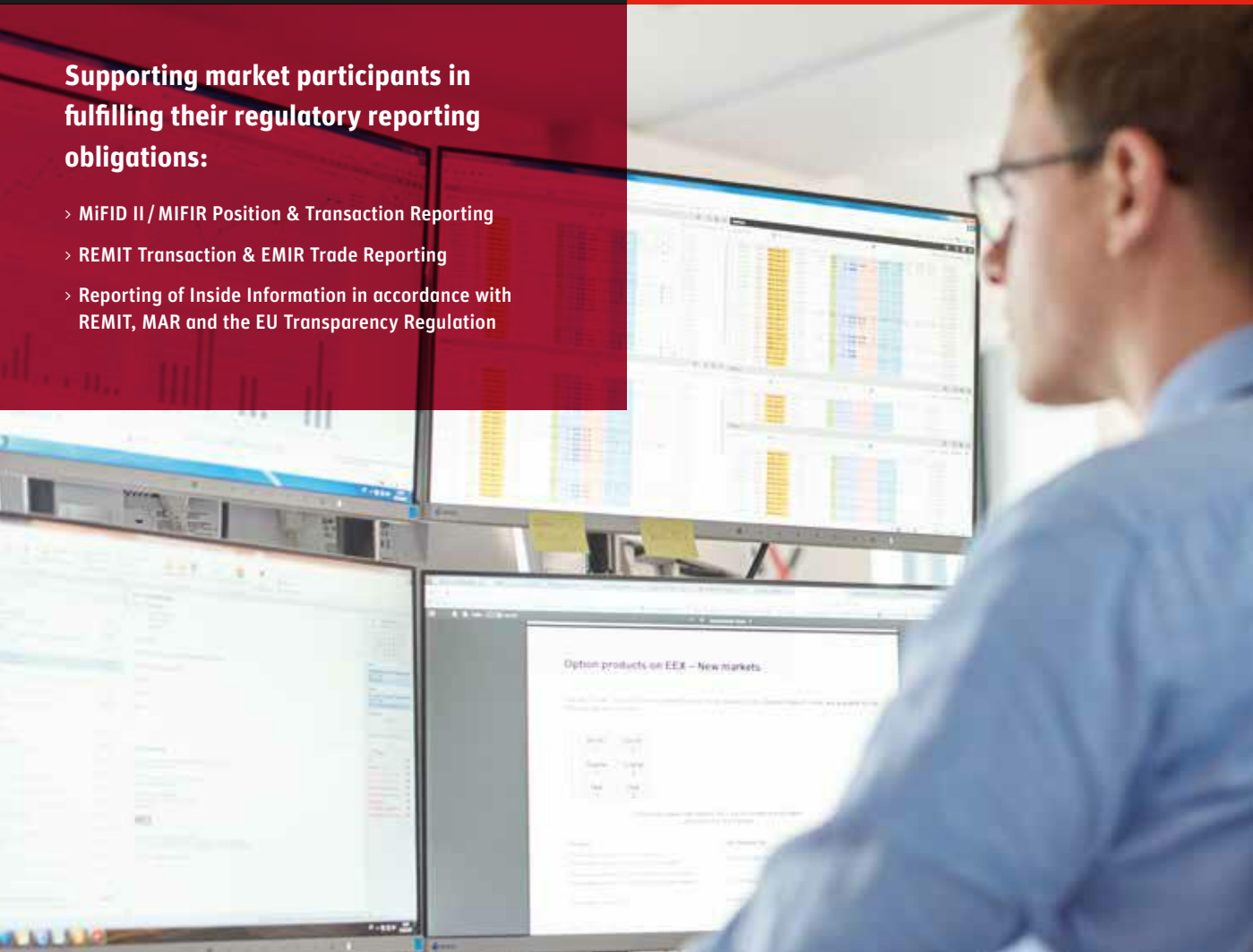
part of eex group



Regulatory Reporting Services

Supporting market participants in fulfilling their regulatory reporting obligations:

- › MiFID II / MIFIR Position & Transaction Reporting
- › REMIT Transaction & EMIR Trade Reporting
- › Reporting of Inside Information in accordance with REMIT, MAR and the EU Transparency Regulation



REMIT, EMIR, MiFID II & MiFIR

EEX Reporting Services manage the collection, monitoring and submission of reportable data across various regulations on behalf of market participants.



Dr. Marcus Mittendorf,
Director Market Data Services

EEX Group supports
market participants
in fulfilling their regulatory
reporting obligations
for commodities.

MiFID II / MiFIR

**MiFID II Directive No. 2014/65/EU,
MiFIR Regulation (EU) No. 600/2014**

Who?

- > Trading venues, investment and non-investment firms

What?

- > Position and transaction reporting

Service

- > Data forwarding to a national regulatory authority (e.g. BaFin, AMF)
- > Draft reports for individual amendment

REMIT

REGULATION (EU) No. 1227/2011 & 1348/2014

Who?

- > Market participants active on wholesale energy markets

What?

- > Reporting of orders and trades, including matched and unmatched orders

Service

- > Data provision to market participants
- > Reporting to ACER, EICOM and EFETnet
- > Monitoring online solution for status queries of reported data

EMIR

REGULATION (EU) No. 648/2012

Who?

- > Clearing and non-clearing members

What?

- > Position, transaction and exposure reporting of any derivative contract

Service

- > Provision of data files for individual downloading
- > Data transfer to a trade repository (e.g. Regis-TR)
- > Online monitoring solution for status queries of reported data

MiFID II Position Reporting & MiFIR Transaction Reporting

On the basis of MiFID II and MiFIR, EEX and Powernext submit position and transaction reports to BaFin (Germany) and AMF (France) respectively.

Precondition: MiFID II/MiFIR Data Services Agreement

Our service

- > Provision of draft transaction and position reports
- > Market participants can amend missing data via an FTP-based online solution.

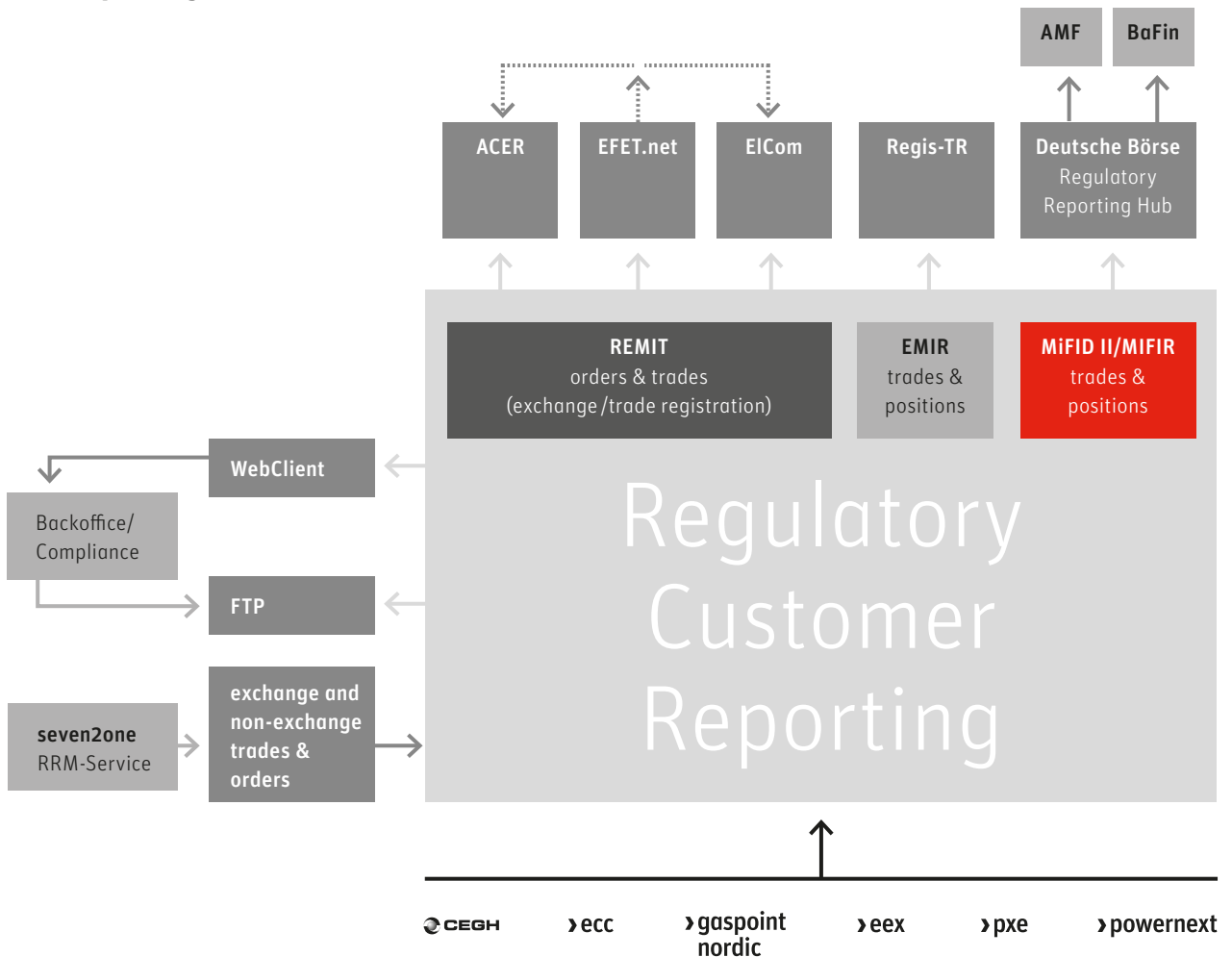
Your advantages

Our unique reporting solution helps customers to meet MiFID II and MiFIR requirements with minimal effort.

Read more:

www.eex.com > Regulatory Reporting Services > MiFID II / MiFIR

EEX Reporting Services Framework



REMIT Transaction Reporting

EEX Group reports transaction data on behalf of its market participants according to the Regulation on Wholesale Energy Market Integrity and Transparency (REMIT). This includes order book trading and trade registration on EEX, EPEX SPOT and Powernext, as well as orders for these trading venues.

Precondition: REMIT Data Services Agreement

Our service

- > Data provision to market participants
- > Reporting to ACER, EICOM and EFETnet

Read more:

www.eex.com > Regulatory Reporting Services > REMIT

EMIR Trade Reporting

EEX Group supports all counterparties active on the derivatives markets cleared by European Commodity Clearing (ECC) in fulfilling their obligations according to the European Market Infrastructure Regulation (EMIR).

Precondition: EMIR Data Services Agreement

Our service

- > Trade and position reporting for Financial (FC) and Non-Financial Counterparties (NFC)
- > Exposure reporting for Non-Financial Counterparties exceeding the EMIR Clearing Threshold (NFC+) and Financial Counterparties

Read more:

www.eex.com > Regulatory Reporting Services > EMIR

Your advantages of our REMIT Transaction and EMIR Trade Reporting Service

- > Reduce your reporting efforts significantly.
- > Download data files and monitor reported data and reporting status via an easily accessible web interface.

Contacts



The **EEX Reporting Services team** will assist you in setting up the necessary data reporting agreement.

Reporting Services Team

Phone: +49 341 2156 380

E-mail: reporting-services@eex.com

www.eex.com

Reporting of Business and Facility Inside Information

The **EEX Transparency Platform** is an effective solution for the timely disclosure and forwarding of inside information in compliance with REMIT, the EU Transparency Regulation and MAR. This includes the disclosure of:

- > Capacity, usage and unavailability related to facilities
- > Business information related to companies



The **EEX Transparency Services team** will assist you in setting up the necessary agreement.

Transparency Services Team

Phone: +49 341 2156 233

E-mail: support@eex-transparency.com

www.eex-transparency.com

REMIT

REGULATION (EU) No. 1227/2011

Who?

All market participants

What?

- > Inside information concerning business or facilities: capacity and use of facilities for production, storage, consumption, including planned or unplanned unavailability
- > Reporting to ACER and national regulatory authorities

Service

- > Data publication on EEX Transparency Platform
- > Data forwarding to ACER and national authorities

Transparency Regulation

REGULATION (EU) No. 543/2013

Who?

- > Market participants with reportable facilities

What?

- > Forwarding data to ENTSO-E in respect to the installed capacity, actual generation and information relating to the unavailability

Service

- > Data forwarding to ENTSO-E

MAR

REGULATION (EU) No. 596/2014

Who?

- > Market participants with reportable facilities

What?

- > Inside information concerning emission allowances which it holds in respect of its business, aviation activities and installations
- > Capacity and utilisation of installations, including planned or unplanned unavailability

Service

- > Data publication on EEX Transparency Platform
- > Active distribution to the media (ESMA)

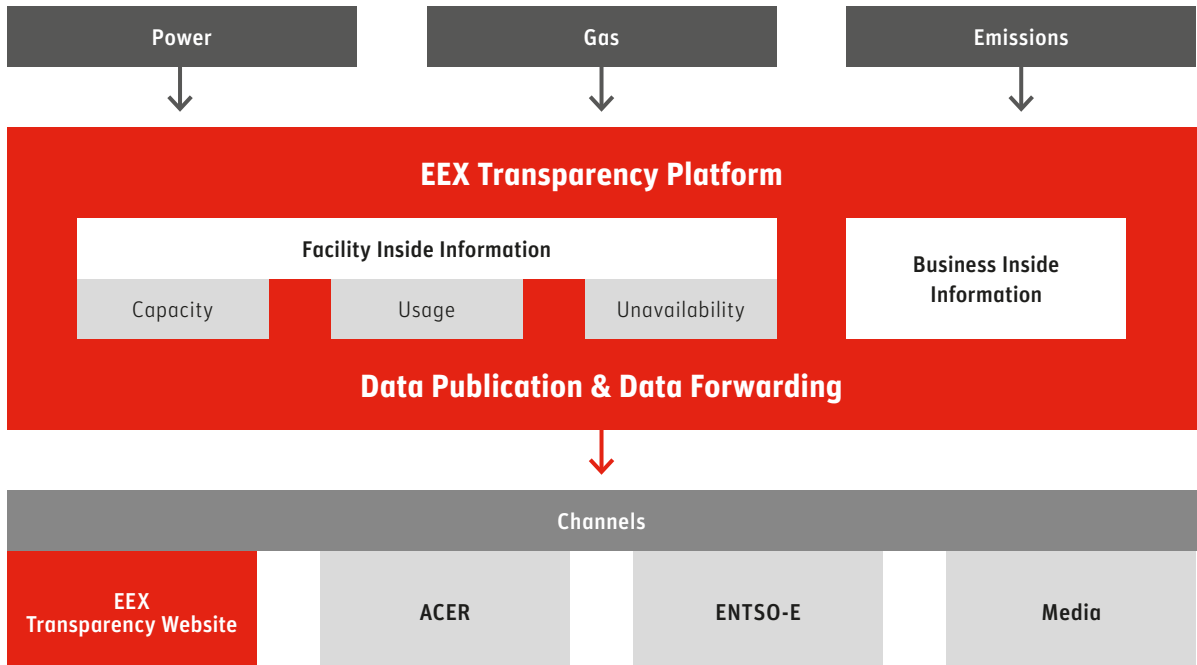
EEX Transparency Platform

Use of an official platform
is highly recommended by
REMIT and MAR.

Your advantages

- > EEX Transparency Platform is recommended and listed by ACER
- > Validation checks ensure the highest data quality and regulatory compliance
- > Secure and certificate-based communication through a technical standard (web service)
- > Continuous development to fulfil regulatory requirements

Reporting Obligations – REMIT & MAR



Your Obligations

REMIT

Market participants are required to:

- > disclose inside information for business or facilities of any size,
- > ensure effective and timely publication of data,
- > forward this data to authorities such as ACER.

Transparency Regulation

Market participants are required to forward REMIT data to ENTSO-E according to article 4, 7, 14, 15 and 16.

MAR

Market participants are required to:

- > disclose inside information for business and facilities including aviation activities,
- > actively distribute data to relevant media,
- > draft and maintain an insider list,
- > disclose managers' transactions in emission allowances,
- > draft and maintain a list of managers and their affiliates.



Our service

- > Effective and timely data publication on the EEX Transparency Platform
- > Automatic data forwarding to authorities such as ACER and ENTSO-E
- > Active data distribution to relevant media (RSS feed, Push-Service)
- > EEX provides the Online Tool for Reporting of Business Inside Information according to REMIT and MAR.
- > EEX Online Tool also serves as customers' backup solution.
- > Fulfil MAR obligations

Read more:

www.eex.com > [Regulatory Reporting Services](#) > [Reporting of Inside Information](#)

Have you heard about MAR?

Learn more about our service:
www.eex-egs.com

› eex group

› eex

› ecc

› epexspot

› powernext

› pegas

› pxe

› cltx

› nodal

› nodalclear

› gaspoint
nordic

About EEX

The European Energy Exchange (EEX) is the leading energy exchange in Europe which develops, operates and connects secure, liquid and transparent markets for energy and related products. EEX offers contracts on Power, Emission Allowances as well as Freight and Agricultural Products.

EEX is part of EEX Group

EEX Group is a group of specialised companies providing market platforms for energy and commodity products across the globe. The group offers market access and tailor-made solutions to trading participants as well as integrated process handling with its own clearing houses.

EEX Group is based in 16 worldwide locations and is part of Deutsche Börse Group.

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