

› eex group

Participation Form to
the MiFID II EUREX
Reporting Agreement

Effective as of

17.03.2022

Leipzig

Ref. 0001A

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1. EUREX Participant and Contact Details

| EUREX Participant | |
|--|------------------------|
| Company Name & Form | Registered Country |
| Legal Entity Identifier (LEI) ¹ | Public IP ² |

| IT Representative ³ | |
|--------------------------------|-----------------|
| Company Name & Form | Name/Department |
| Email Address | Telephone |

| Contact Person | |
|--------------------------|------------|
| Name/Department | Street/POB |
| Postcode, City & Country | Telephone |
| Email Address | |

¹ For further information regarding the issuance of LEIs, please consult the web page of the Regulatory Oversight Committee (ROC) of the Global Legal Entity Identifier System (GLEIS) at www.leiroc.org. Only LEIs with entity status "active" are accepted.

² The whitelisting of external IP address(es) is mandatory to establish the connection to EEX AG's FTP and web application.

³ Contact details of the EUREX Participant's IT are used to provide certificate instructions and login credentials for web applications and the FTP server.

2. MiFID II EUREX Reporting

| MiFID II Position Reports | Data Contribution |
|---|--------------------------|
| <p><u>Data Contribution by EUREX Participants</u></p> <p>Compliance with the requirement to provide EEX AG with the details of the EUREX Participant's own positions held through contracts traded as a trading participant on EUREX, as well as those of its clients and the clients of those clients by uploading the relevant Participant Report on an sFTP server until 2 pm CE(S)T on the business day following the reportable event.</p> | <input type="checkbox"/> |

3. Delegation of Upload

Optional: The EUREX Participant **delegates the upload** of the Participant Report **to the following entity:**

| Service Provider | |
|---------------------|-----------------|
| Company Name & Form | Name/Department |
| Email Address | Telephone |
| Public IP | |

4. Conclusion of the Agreement

By submitting this Participation Form, the EUREX Participant requests the conclusion of this Agreement with EEX AG pursuant to the provisions stipulated in this Participation Form and the General Conditions to the MiFID II EUREX Reporting Agreement. The Agreement shall be concluded on the date the EUREX Participant receives the notification of acceptance from EEX AG. EEX AG will accept the request and provide the notification of acceptance without undue delay, provided that this Participation Form has been duly completed and signed and the EUREX Participant fulfills the requirements pursuant to this Agreement.

5. Commencement Date of the Agreement

The obligations of the Parties under this Agreement shall commence on the Commencement Date as specified in the General Conditions to the MiFID II EUREX Reporting Agreement.

We herewith request the conclusion of this Agreement and accept, recognize, and agree (to)

1. the stipulations in this Participation Form, the General Conditions to the MiFID II EUREX Reporting Agreement, which shall – in their respective valid version – be an integral part of this Agreement;
2. upload the Participant Report on each Business Day, as more specifically outlined in the General Conditions to the MiFID II EUREX Reporting Agreement;
3. inform EEX AG promptly about any change of the details specified in this Participation Form; and
4. that – upon its conclusion – this Agreement shall replace all prior Agreements between the Parties relating to the MiFID II EUREX Reporting.

| | |
|-------|--|
| Place | Company Stamp and Legally Binding Signature(s) |
| Date | Name(s) of Signatory(-ies) in Block Letters |