

Annex 1 - Position Report Attributes

This document is an annex to the document "MiFID II/MiFIR Reporting - Technical Handbook" of EEX AG and gives an overview of the data fields according to ITS 4.

- **Extended FCA Schema (2017)** refers to the extended schema as provided by the Futures Industry Association (FIA) in 2017 with additional but optional attributes that were added where required by
- **FCA Schema (2021)** refers to the standard FCA schema of 2021 with the additional field
- **Schema Path** refers to the transaction XML structure.
- **ITS4 Field** shows the field name as specified in the ITS4 document.
- **Format & Valid Values** provide the field format, pattern restrictions and lists of valid values where
- **Draft Report Value** shows exemplary values with regard to the draft report generated by EEX AG.
- **Draft** indicates if the tag is used in the Draft report, if applicable.
- **Validation** indicates if the tag is validated after the upload of the Participant Report to the SFTP.
- **Mandatory** indicates if the tag is a mandatory field for reporting. Tags can be mandatory ("Y"), not mandatory ("N") or conditionally mandatory ("C"). For example providing the ultimate parent id is mandatory, but which format is a choice, therefore the different variants of providing the ultimate

Extended FCA Schema (2017)	FCA Schema (2021)	Schema Path	ITS4 Field	Format & Valid Values	Draft Report Value	Draft	Validation	Mandatory
Version	n/a	/Header/VersionInfo/Version	n/a	Text (25 char)	2.1.0	Y	Y	Y
Notes	n/a	/Header/VersionInfo/Notes	n/a	Text (50 char)	Draft version Commodity Derivative Position Report	Y	N	Y
Environment	n/a	/Header/Environment	n/a	'PRO' 'SIM'	PRO	Y	Y	Y
SubmissionID	n/a	/Header/SubmissionID	n/a	Text (50 char)	n/a	N	N	N
SubmitterID	n/a	/Header/SubmitterID	n/a	Text (35 char)	529900JOJGLSFDWNFC20	Y	Y	Y
ReceiverID	n/a	/Header/ReceiverID	n/a	Text (35 char)	NCADE	Y	N	N
UltimateReceivingNCA	n/a	/Header/UltimateReceivingNCA	n/a	Text (35 char)	NCADE	Y	Y	Y
n/a	n/a	/CPR/NEWT/	Report status	'NEWT' 'CANC' 'AMND'	NEWT	Y	Y	Y
ReportRefNo	ReportRefNo	/ReportRefNo	Report reference number	Text (52 char)	e.g. E01XEER0000PM2024010200000000003SY	Y	Y	Y
RptDt	RptDt	/CPRBody/RptDt	Date and time of report submission	YYYY-MM-DDTHH:MM:SS.mmmZ	e.g. 2024-01-03T011:54:57.207Z	Y	N	Y
BusDt	BusDt	/CPRBody/BusDt	Date of the trading day of the reported position	ISO 8601: YYYY-MM-DD	e.g. 2024-01-02	Y	Y	Y
RptEnt	RptEnt	/CPRBody/RptEnt/LEI	Reporting entity ID	Text; pattern restriction	e.g. 529900U86L9E0D4K2054	Y	Y	Y
RptEnt	RptEnt	/CPRBody/RptEnt/NationalID/ Othr/Id	Reporting entity ID	Text (35 char); pattern restriction	n/a	N	N	N
RptEnt	RptEnt	/CPRBody/RptEnt/NationalID/ Othr/SchmeNm/Cd	Reporting entity ID	'NIDN' 'CCPT'	n/a	N	N	N
RptEnt	RptEnt	/CPRBody/RptEnt/NationalID/ Othr/SchmeNm/Prtr	Reporting entity ID	'CONCAT'	n/a	N	N	N
RptEntMemberID	n/a	/CPRBody/RptEntMemberID	n/a	Text (35 char)	e.g. ABCEX	Y	N	N
PstnAcct	n/a	/CPRBody/PstnAcct	n/a	Text (200 char)	e.g. P1	Y	N	N
PstnHldr	PstnHldr	/CPRBody/PstnHldr/LEI	Position holder ID	Text; pattern restriction	e.g. 529900U86L9E0D4K2054	Y	Y	C
PstnHldr	PstnHldr	/CPRBody/PstnHldr/NationalID/ Othr/Id	Position holder ID	Text (35 char); pattern restriction	e.g. GB19750731JOHN#SMITH	N	Y	C
PstnHldr	PstnHldr	/CPRBody/PstnHldr/NationalID/ Othr/SchmeNm/Cd	Position holder ID	'NIDN' 'CCPT'	e.g. NIDN	N	Y	C
PstnHldr	PstnHldr	/CPRBody/PstnHldr/NationalID/ Othr/SchmeNm/Prtr	Position holder ID	'CONCAT'	CONCAT	N	Y	C
PstnHldrCategory	n/a	/CPRBody/PstnHldrCategory	n/a	'Investment Firm or Credit Institution' 'Investment Fund' 'Other Financial Institution' 'Commercial Undertaking' 'Operators with compliance obligations under Directive 2003/87/EC'	e.g. Commercial Undertaking	Y	Y	Y
PstnHldrCntctEml	PstnHldrCntctEml	CPRBody/PstnHldrCntctEml	Email address of position holder	Text (3-256 char); pattern restriction	e.g. name@domain.com	Y	Y	Y
ParentPstnHldrCntctEml	ParentPstnHldrCntctEml	/CPRBody/ParentPstnHldrCntctEml	Email address of ultimate parent entity	Text (3-256 char); pattern restriction	e.g. name@domain.com	Y	Y	Y
PstnHldrIsldpdtInd	PstnHldrIsldpdtInd	/CPRBody/PstnHldrIsldpdtInd	Parent of collective investment scheme status	'TRUE' 'FALSE'	e.g. TRUE	Y	Y	Y
PrntEnt	PrntEnt	/CPRBody/PrntEnt/LEI	Ultimate parent entity ID	Text; pattern restriction	e.g. 529900U86L9E0D4K2054	Y	Y	C
PrntEnt	PrntEnt	/CPRBody/PrntEnt/NationalID/ Othr/Id	Ultimate parent entity ID	Text (35 char); pattern restriction	e.g. GB19750731JOHN#SMITH	Y	N	C
PrntEnt	PrntEnt	/CPRBody/PrntEnt/NationalID/ Othr/SchmeNm/Cd	Ultimate parent entity ID	'NIDN' 'CCPT'	e.g. NIDN	Y	N	C
PrntEnt	PrntEnt	/CPRBody/PrntEnt/NationalID/ Othr/SchmeNm/Prtry	Ultimate parent entity ID	'CONCAT'	CONCAT	Y	N	C
ISIN	ISIN	/CPRBody/ISIN	Identification code of contract traded on trading venues	Text; pattern restriction	e.g. DE000C3TR3V6	Y	Y	Y
VenProdCde	VenProdCde	/CPRBody/VenProdCde	Venue product code	Text (12 char)	e.g. DEBM	Y	Y	Y
TrdngVenID	TrdngVenID	/CPRBody/TrdngVenID	Trading venue identifier	Text; pattern restriction	e.g. XEER	Y	Y	Y
PstnTyp	PstnTyp	/CPRBody/PstnTyp	Position type	'OPTN' 'FUTR' 'EMIS' 'SDRV' 'OTHR'	e.g. FUTR	Y	Y	Y
PstnMtrty	PstnMtrty	/CPRBody/PstnMtrty	Position maturity	'SPOT' 'OTHR' 'SPLIT'	e.g. OTHR	Y	Y	Y

PstnQty	PstnQty	/CPRBody/PstnQty	Position quantity	Numeric (15-2Real)	e.g. 2184	Y	Y	Y
PstnQtyUoM	PstnQtyUoM	/CPRBody/PstnQtyUoM	Notation of the position quantity	'LOTS' 'UNIT' 'OTHER'	e.g. OTHER	Y	Y	Y
PstnQtyUoMDesc	PstnQtyUoMDesc	/CPRBody/PstnQtyUoMDesc	Notation of the position quantity	Text (25 char)	e.g. MWh	Y	Y	C
DeltaPstnQty	DeltaPstnQty	/CPRBody/DeltaPstnQty	Delta equivalent position quantity	Numeric (15-2Real)	e.g. -4.8	Y	Y	C
RiskRdcInd	RiskRdcInd	/CPRBody/RiskRdcInd	Indicator of whether the position is risk reducing in relation to commercial activity	'TRUE' 'FALSE'	e.g. TRUE	Y	N	Y
IsNonInvestmentFirm	n/a	/CPRBody/ClientData/IsNonInvestFirm	n/a	'TRUE' 'FALSE'	n/a	N	N	N
IsHedgingExempt	n/a	CPRBody/ClientData/IsHedgingExempt	n/a	'TRUE' 'FALSE'	n/a	N	N	N
n/a	ObligationToProvideLiquidity	CPRBody/ClientData/ObligationToProvideLiquidity	n/a	'TRUE' 'FALSE'	n/a	N	N	N